

07-02-01

11840 U.S. PTO  
09/895791  
06/29/01

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

Docket No. : 41994/MJM/C766  
Inventor(s) : RICHARD C. FLAGAN, HARRY A. ATWATER  
MICHELE L. OSTRAAT  
Title : AEROSOL PROCESS FOR FABRICATING DISCONTINUOUS  
FLOATING GATE MICROELECTRONIC DEVICES  
Express Mail Label No. : EL483650603US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: June 29, 2001

1. X **FEE TRANSMITTAL FORM** *(Submit an original, and a duplicate for fee processing).*

2. **IF A CONTINUING APPLICATION**

\_\_\_\_\_ This application is a \_\_\_\_\_ of patent application No. \_\_\_\_\_

Prior application information: Examiner ; Group Art Unit:

X This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),  
to provisional Application No. 60/215,390, filed June 29, 2000; provisional Application  
No. 60/215,400, filed June 29, 2000.

3. **APPLICATION COMPRISED OF**

**Specification**

30 Specification, claims and Abstract (total pages)

**Drawings**

9 Sheets of formal drawing(s) (FIGS. 1 to 17)

**Declaration and Power of Attorney**

\_\_\_\_\_ Newly executed

X Unexecuted declaration

\_\_\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_\_\_ **Microfiche Computer Program** *(Appendix)*

5. \_\_\_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** *(if applicable, all necessary)*

\_\_\_\_\_ Computer Readable Copy

\_\_\_\_\_ Paper Copy (identical to computer copy)

\_\_\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

X Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL  
ENTITY

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**7. ALSO ENCLOSED ARE**

- ☐ Preliminary Amendment
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

**8. CORRESPONDENCE ADDRESS**

***CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068***  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Mark J. Marcelli  
Reg. No. 36,593  
626/795-9900

MJM/rlm

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: June 29, 2001**

Docket No. : 41994/MJM/C766  
Inventor(s) : RICHARD C. FLAGAN, HARRY A. ATWATER & MICHELE L. OSTRAT  
Title : AEROSOL PROCESS FOR FABRICATING DISCONTINUOUS FLOATING  
GATE MICROELECTRONIC DEVICES Duplicate \_\_

**FEE DETERMINATION**

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	44 - 20	24	24 x \$9.00	x \$18.00	\$216.00
INDEPENDENT CLAIMS	4 - 3	1	1 x \$40.00	x \$80.00	\$40.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$135.00	\$270.00	
BASIC FEE			\$355.00	\$710.00	\$355.00
TOTAL FILING FEE					\$611.00
List Independent Claims: 1,36, 40 and 44					

**METHOD OF PAYMENT**

- ☒ No filing fee enclosed
- ☒ No Deposit Account Authorization.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Mark J. Marcelli  
Mark J. Marcelli  
Reg. No. 36,593  
626/795-9900

MJM/rlm  
RLM PAS361276.1\*-6/29/01 5.57 PM